

## BUYING SHARES FORM

### Attention: Client Advisers/Equity Dealers

I/We   
of

hereby authorise JMP Securities Limited (JMP) to sell shares on my/our behalf as indicated Section 1, 2 and 3.

#### Section 1 - Share Investment Details

Total Quantity	Stock	Market Price or Limit
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

#### Section 2 - Share Registration Details

Name   
Address   
Telephone   
Mobile   
Email

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Name   
Address   
Telephone   
Mobile   
Email

#### Section 2 - Share Registration Details

Tick	Type of Payment	Particulars
<input type="checkbox"/>	Bank Cheque	<input type="text"/>
<input type="checkbox"/>	Direct Deposit	<input type="text"/>
<input type="checkbox"/>	Telegraphic Transfer	<input type="text"/>
<input type="checkbox"/>	Share Sales Proceeds	<input type="text"/>

Transfer/Deposit your funds with a clear narration B/O of "your name" to JMP's bank account details below;

Account Name: JMP Securities Limited

Bank: Bank South Pacific

Account Number: 7019145890

Branch Name: Gordons Commercial

BSB: 088-951

#### Important Note:

- The minimum investment amount is K2,325.00. This amount includes the relevant Security Commission fee, the PNGX fee and our brokerage fee.
- You must provide us with the deposit confirmation for every purchase before investing with declaration of the source of funds and your source of wealth according to the AML/CTF Act requirements.
- All new investors must provide a valid form of identification, which can either be a passport, driver's license, work ID or a statutory recommendation letter from a trusted source known to JMP Securities Limited; JMP reserves the right to refuse or accept new applications
- Existing clients may also be requested to provide a valid form of identification which can either be a password, driver's license, work ID, a statutory declaration signed by a reputable commissioner of oaths or a recommendation letter from a trusted source known to JMP Securities Limited.
- Please refer to the following sliding scale brokerage fee structure to be applied on each investment consideration.
- Contact your Client Advisor if you have any queries.

## Fee Schedule

### Domestic Transaction Fees

Amount/Consideration	Fee (PGK)
K2,000 - K10,000	325
K10,001 - K20,000	2.5%
K20,001 - K50,000	2.0%
K50,001 - K100,000	1.5%
K100,001+	1.0%

#### Applicable fees

1. PNGX fee - K23.00 plus an Ad Valorum fee of 0.1% of the value of each transaction with the total fee capped at K58.00 per trade (ie: per trade on the exchange)
2. Securities Commission Levy fee - 0.03% per trade (ie: per trade on the exchange)

#### Declaration:

I/We declare that the information provided in this form is true in every detail to the best of my/our knowledge. I/ We accept full liability if any information provided in this form is false.

#### Applicant 1

Name   
Signature   
Date

#### Applicant 2

Name   
Signature   
Date

#### Witness

Name   
Signature   
Date

#### For Office Use Only

#### Compliance Officer:

I confirm that I have performed the following;

- Verified the account details on the system
- Verified the signature and received client's photo identification on the system if an existing client
- Receive the statement of holding to confirm the holding
- For joint applicants, authorised representatives have signed the form
- For non-individual applicants, the authorised signatories have authorised the transaction with copies of relevant documents substantiating the applications.

#### Authorising Officer

Staff ID   
Name   
Signature   
Date

#### Counterparty Details

Account number   
Advisor