

FUNDS MANAGEMENT & CUSTODY SOLUTIONS

 **JMP** | SECURITIES

Level 1, Harbourside West
Stanley Esplanade
Port Moresby NCD
Papua New Guinea

www.jmpmarkets.com

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Why use JMP Securities as Custodian and Funds Manager?



Convenient



Efficient



Secure



Knowledge-based



Discrete



Building the right portfolio

We advise you and help you allocate your investments to asset classes and opportunities that match your circumstances and risk appetite

Balancing risks and returns

Our skills & experience align with your priorities and goals. Achieving your objectives is our business. It is what we do.

Tracking & Reporting

We ensure you know what is happening in the markets and your portfolio at all times.

You receive regular reports and research.



BANK OF PAPUA NEW GUINEA



Investing

JMP Securities provides clients with full access to equities and fixed interest markets within Papua New Guinea and internationally

Monitoring

Our investment professionals continuously monitor your portfolio as well as developments in markets. We provide ongoing recommendations and perspectives.

Reporting

JMP Securities provides our Funds Management and Custody clients with regular and timely reports about your investment performance. We also alert you to risks and opportunities outside of the scheduled reporting cycles.

Our Services

Funds Management

- Investment Advice
- Trade Execution
- Portfolio Management
- Regular and *ad hoc* reporting
- Access to international markets only through client arrangements
- Direct Management of shareholdings, income and distributions - e.g. dividend entitlements

Custody Solutions

- Investment Advice
- Trade Execution
- Portfolio Management
- Regular and *ad hoc* reporting
- Access to international markets through JMP Securities network
- Direct Management of shareholdings, income and distributions - e.g. dividend entitlements

Our Services

Custody and Funds Management

- ✓ Investment Advice
- ✓ Trade Execution
- ✓ Portfolio Management
- ✓ Regular and *ad hoc* reporting
- ✓ Access to international markets through JMP Securities network
- ✓ Direct Management of shareholdings, income and distributions - e.g. dividend entitlements

Benefits & Advantages

You can choose to purchase funds management services only or custody solutions only. Or, you may wish to avail yourself of our full suite of services.

By selecting the services that are appropriate for you and your circumstances, you can avail yourselves of the benefits and advantages that we offer to our premium clients.



Access to Advice

Our clients get access to our experienced investment professionals and market leading research resources.

Ease of Investing

We do not charge brokerage fees for our Custody and Funds Management clients. We also manage all records and documents.

All Markets

Our Custody and Funds Management clients can access Papua New Guinea and global investment markets.

Convenient Administration

Our team manages all aspects of your investments, including collecting dividends and interest payments.

Detailed Reports

We provide our clients with detailed reports on monthly, quarterly and annual basis. Our reports will help you manage your portfolio, as well as your tax and other affairs.

Discrete

Modern capital markets are built on transparency and open source information. However, there are some tangible benefits available to investors in terms of discretion from working with a Custodian.



About Us

JMP Securities Limited is a Participating Organisation (broker member) on the PNGX - Papua New Guinea's National Stock Exchange.

We are licensed by the Securities Commission of Papua New Guinea under the *Capital Market Act 2015*.

We trade for our Papua New Guinean clients in PNG and international capital markets, including equities and fixed interest instruments.



Broker/Dealer

Trading on PNGX



Funds Management

Portfolio management and administration



Financial Planning

Assisting clients reach their financial goals



Corporate Finance

Helping companies access capital markets

How does it happen?

Talk to Us

Let our team explain to you fully what our services include and what we do not provide

Think about it

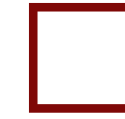
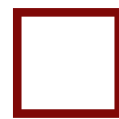
We invite you to consider carefully whether JMP Funds Management is right for you

Sign Up

Once you have decided to proceed, we will help you complete our sign-on documentation

The Paperwork

Helping you with your financial and investment decisions is a weighty responsibility that we take very seriously.



Meet the Investment Threshold

Our Funds Management and Custody Clients need to invest a minimum of K250,000

Sign Our Client Application and Funds Management Forms

It is important that you and JMP have clear legal agreements that manage our relationship and protect your interests

Standard KYC and AML checks

We are under legal obligations under our licenses to perform appropriate checks to verify your identity, *bona fides* and to ascertain the source of your wealth

Our Fees

At JMP we pride ourselves in the provision of bespoke and value-for-money services to all of our clients.

We always endeavor to meet your expectations in terms of what is a fair remuneration for our services.

Open communication is the key to managing expectations and avoiding disappointment or misunderstanding.

To this end, we want our clients not to hesitate in contacting us to discuss any aspect of their relationship with JMP, including the cost of our services.

Our fees are payable on a quarterly basis in arrears:

STANDARD FEES

Equities	1.00%
Fixed Interest Assets	0.30%
International Markets	1.00%
Other Assets	1.00%
Brokerage	NIL

All fees quoted on an annualised basis. Fees are collected quarterly in arrears.

We will discuss with you the terms of our engagement, including fees, during the client acceptance process.

Our Team

Nathan Chang

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Chris Hagan

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Lars Mortensen

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Contact Us

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